

BOSNIA AND HERZEGOVINA
THE FEDERATION OF BOSNIA AND HERZEGOVINA
REGISTRY OF SECURITIES IN THE FEDERATION OF
BOSNIA AND HERZEGOVINA

Pursuant to Article XI. Item 2. of the Law on Registry of Securities ('the Official Gazette in the Federation of Bosnia and Herzegovina' No. 39/98 and 36/99), and Article XX, Item 1 and Article XXV, Item 1.1. of the Manual on Registration and Transfer of Registry of Securities (the Official Gazette in the Federation of Bosnia and Herzegovina No. 32/99), and approval from the Commission for Registry of Securities in the Federation of Bosnia and Herzegovina published with formal decision number: 01-05-833/00 at December 18, 2000. Supervisory Board of Registry of Securities in the Federation of Bosnia and Herzegovina, during the session held on November 2, 2000 adopted a:

GUIDELINES
OF APPLICATION FORM FOR TRANSFER OF
REGISTRY OF SECURITIES

I

In conformity with the Manual of Registration and Transfer of Registry of Securities, with the guidelines a form is required for transfer of registry of securities: (application form); which buyer or third party, depending on the kind of transfer (buyer) of registry of securities submit to the Register of securities in order to register the transfer of securities from the dealer account, and third party depending on the kind of the transfer (seller) on the account of buyer of securities.

II

The application from the Article I on the guidelines contains:

A. Information on buyer of securities:

1. Name, and a parent name, surname and surname of the natural person, or the firm of legal body,
2. Address and post-code of residence of natural person, and residence of legal body,
3. Personal number of natural person, or unique register number of legal body in the unique Registry of Business in the Federal Office of Statistics,
4. Number of an account of the buyer of securities, or a remark that account is not open.

B. Information on seller of securities:

1. Name, parent name and surname of natural person, or name of the firm of legal body,
2. Address and post-code of residence of natural person, or residence of legal body,
3. Personal number of natural person, or register number of legal body in unique Registry of Business at Federal Office of Statistics,
4. Number of account of seller of securities,
5. Signature of seller of securities (enclosed document of verification of seller's signature at the authorities).

C. Information on registry of securities:

1. a symbol for registry of securities (firm, residence and registry number of a member in the Registry of the Members at Commission of Securities)
2. Identification Number of Registry of Securities (ISIN), or if that number is not common, then kind, class and nominal value of registry of securities are transferred.

D. Information on transfer:

1. Kind of transfer (buying and selling, donation, inheritance, and other kinds of transfer),
2. Number of registry of securities that are transferred,
3. Document on which the transfer is done, verified at authorities (a kind of document enclosed with the application)
4. Date and place of submission,
5. Name and surname, signature and characteristic of submitter of application form (buyer)

The application form with the content from previous item is enclosed, and it is a constituent of the guidelines.

III

Buyer of security submits the application form from item II to the Register within seven days from the day when the business (buying and selling, inheritance, donation and other kinds of transfer) was signed, and with which the transfer of ownership of securities is done.

Buyer of securities submits application form to the Register in two copies of application forms, and enclosed in the guidelines. The application form can be found at Registry Office. One copy of the application form, after it is verified by authority person, is given back to buyer, while the other copy stays in the Register.

Buyer of securities is obliged to enclose, with the application form, a document (contract, decision, sentence, power of attorney, or other document from legal body) of business on which the transfer of ownership is done on the registry of security.

Document from the previous item has to be verified from legal body.

IV

Buyer of securities submits the form for transfer to the Register over professional mediator (broker or dealer), personally or over a power of attorney.

Transfer of security from an account of seller to account of buyer, the Register will sign on at the latest of the end of its office hours from the first day which follows after the application form was received.

If the Register refuses to do the transfer of securities because of the reasons that are determined in the Article XX, paragraph 3, item 1. 2. 3. and 4. of the Manual of Registration and Transfer of Registry of Securities at the Register of Securities, the receiver will get a statement of reasons on refusal of transfer implementation with an explanation and directions for elimination of faults.

VI

After the registration of transfer of ownership on the registry of security is done, the Register will provide a certificate on the balance accounts of seller and buyer within three days from the day of registration of the transfer of securities.

VII

The Register will keep records on performed changes on the balance of accounts of securities on the application form with information on date when the form was received, the information on buyer and seller, date of registration of securities, and other information.

VIII

The Register will keep submitted form within the period of three years from the day when the application form was received.

IX

The guidelines are enforced from the day of its adoption, and they will be published in the Official Gazettes in the Federation of Bosnia and Herzegovina.

Number: 05-1-NO-VI-4/00
Sarajevo, November 2, 2000.

President of
Supervisory Board
Sead Bahtanović

The Guidelines of application form for transfer of securities was published in the Official Gazettes in the Federation of Bosnia and Herzegovina, No: 58/00.

